

2-11-02

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PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

NEW UTILITY PATENT APPLICATION TRANSMITTAL <i>(only for new nonprovisional applications under 37 CFR 1.53(b))</i>	<i>Attorney Docket Number</i>	21216-06215
	<i>First Named Inventor</i>	Srinivasa Sesha Soma Sekhar Muppidi
	<i>Title</i>	SYSTEM AND METHOD FOR CONFIGURATION DISCOVERY IN AN OPTICAL NETWORK
	<i>Express Mail Label No.</i>	EL566299218US

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 3. <input checked="" type="checkbox"/> Specification <i>Total Pages</i> 31 <i>(preferred arrangement set forth below)</i> <input type="checkbox"/> Descriptive Title of the Invention <input type="checkbox"/> Cross Reference(s) to Related Case(s) <input type="checkbox"/> Statement Regarding Fed sponsored R & D <input type="checkbox"/> Background of the Invention <input type="checkbox"/> Brief Summary of the Invention <input type="checkbox"/> Brief Description of the Drawing(s) <input type="checkbox"/> Detailed Description <input type="checkbox"/> Claim or Claims <input type="checkbox"/> Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <i>Total Sheets</i> 8 5. Oath or Declaration a. <input checked="" type="checkbox"/> New Declaration <i>Total Pages</i> 1 <input type="checkbox"/> Executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 9. <input checked="" type="checkbox"/> Power of Attorney or Authorization of Agent 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement 11. <input type="checkbox"/> Preliminary Amendment 12. <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Citation(s) 13. <input checked="" type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent 14. <input checked="" type="checkbox"/> Return Postcard 15. <input type="checkbox"/> _____ 16. <input type="checkbox"/> _____ 17. <input type="checkbox"/> _____
ADDRESS TO: Box Patent Application Commissioner for Patents Washington, D.C. 20231	


18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: ____/____

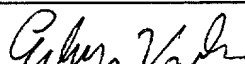
Prior application information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS


00758

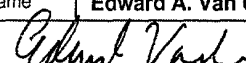
☒ Customer Number and Bar Code Label

Name (Print/Type)	Edward A. Van Gieson	Registration No. (Attorney/Agent)	44,386
Signature		Date	2 / 6 / 02

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified) Rev. 10/2001	U.S. Department of Commerce Patent and Trademark Office	Complete if Known	
FEE TRANSMITTAL		Application Number	
		Filing Date	February 6, 2002
		First Named Inventor	Srinivasa Sesha Soma Sekhar Muppidi
		Group Art Unit	
		Examiner Name	
TOTAL AMOUNT OF PAYMENT		Attorney Docket Number	21216-06215
Subtotal (1) + Subtotal (2) + Subtotal (3) =		(\$ 1,586.00)	

METHOD OF PAYMENT		FEE CALCULATION (continued)			
1. The Commissioner is hereby authorized to:		3. ADDITIONAL FEES			
<input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account.		<u>Large Entity</u>	<u>Small Entity</u>	Fee Description	Fee Due
<input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †		Fee Code/Fee	Fee Code/Fee		
<input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27		105/\$130	205/\$65	Surcharge - late filing fee or oath	
Deposit Account Number: 19-2555		127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	
Deposit Account Name: FENWICK & WEST LLP		147/\$2,520	147/\$2,520	For filing a request for reexamination	
A Duplicate Copy of this authorization is attached		115/\$110	215/\$55	Extension for response within first month†	
2. <input checked="" type="checkbox"/> Payment Enclosed:		116/\$400	216/\$200	Extension for response within second month†	
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Other		117/\$920	217/\$460	Extension for response within third month†	
FEE CALCULATION (fees effective 10/01/2001)		118/\$1,440	218/\$720	Extension for response within fourth month†	
1. FILING FEE		128/\$1,960	228/\$980	Extension for response within fifth month†	
<u>Large Entity</u>	<u>Small Entity</u>	119/\$320	219/\$160	Notice of Appeal	
Fee Code/Fee	Fee Code/Fee	141/\$1,280	241/\$640	Petition to revive unintentionally abandoned application	
101/\$740	201/\$370	142/\$1,280	242/\$640	Utility Issue Fee (Or Reissue)	
106/\$330	206/\$165	143/\$460	243/\$230	Design Issue Fee	
108/\$740	208/\$370	122/\$130	122/\$130	Petitions to the Commissioner	
114/\$160	214/\$80	126/\$180	126/\$180	Submission of Information Disclosure Statement	
		179/\$740	279/\$370	Request for Continued Examination (RCE)	
		581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	
		146/\$740	246/\$370	Filing a submission after final rejection (37 CFR 1.129(a))	
		149/\$740	249/\$370	For each additional invention to be examined (37 CFR 1.129(b))	
				Other fee (specify):	
				Other fee (specify):	
				SUBTOTAL (3)	(\$ 0)
2. CLAIMS					
<u>Large Entity</u>	<u>Small Entity</u>				
Fee Code/Fee	Fee Code/Fee	Fee Description			
103/\$18	203/\$9	Claims in excess of 20			
102/\$84	202/\$42	Independent claims in excess of 3			
104/\$280	204/\$140	Multiple dependent claim			
109/\$84	209/\$42	Reissue independent claims over original patent			
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent			
		(Col. 1)	(Col. 2)	(Col. 3)	
		For	No. of Existing Claims	Highest No. Previously Paid For	
		TOTAL	53	minus* 20 or 0	= 33
		INDEP	6	minus* 3 or 0	= 3
		[] First presentation of multiple dependent claim			
		* Subtract the greater number of Col. 2			
		** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3			
		SUBTOTAL (2) (\$ 846)			

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Edward A. Van Gieson	Reg. Number	44,386
Signature		Date	2/6/02

† Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	Srinivasa Sesha Soma Sekhar Muppidi
	Title	SYSTEM AND METHOD FOR CONFIGURATION DISCOVERY IN AN OPTICAL NETWORK
	Atty Docket Number	21216-06215

I hereby certify that the invention disclosed in the attached application **has not been and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

2/06/02

Date



Signature

Edward A. Van Gieson, Reg. No. 44,386
Typed or printed name/Registration Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**